### Attorney Docket # 502902-225PUS IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Bert BRAUNE et al.

International Application No.:

PCT/DE2004/002136

International Filing Date: September 24, 2004

For:

Green-Emitting LED

### REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

It is requested that the following assignment information be published as part of the 18 month publication of the present application:

> Patent-Treuhand-Gesellschaft für elektrische Glühlampen mbH Hellabrunner Str. 1 81543 München, Germany

> > **AND**

Osram Opto Semiconductors GmbH Wernerwerkstrasse 2 93049 Regensburg, Germany

> Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

Thomas Langer

Reg. No. 27,264 6

551 Fifth Avenue, Suite 1210 New York, N.Y. 10176

(212) 687-2770

20 March 2006

## VAP3 Rec'd PCT/FTO 20 MAR 2008

By Express Mail # EV857193821US

FORM PTO-1390 (REV02-2005) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET #: 502902-225PUS

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/DE2004/002136

international filing date
24 September 2004

PRIORITY DATE CLAIMED
24 September 2003

TITLE OF INVENTION

### **Green-Emitting LED**

APPLICANT(S) FOR DO/EO/US

Bert BRAUNE; Herbert BRUNNER; Tim FIEDLER; Frank JERMANN; Martin ZACHAU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [x] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371
- 3. [x] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [x] The US has been elected (Article 31).
- 5. [x] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [] is attached hereto (required only if not communicated by the International Bureau).
  - b.[x]has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [x] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [x] is attached hereto.
  - b.[] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are attached hereto (required only if not communicated by the International Bureau).
  - b.[] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d.[] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [x] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10.[] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11. to 20. Below concern other document(s) or information included:

- 11.[x]Two Information Disclosure Statements under 37 CFR 1.97 and 1.98.
- 12.[x] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.[x] A preliminary amendment.
- 14.[] An Application Data Sheet under 37 CFR 1.76.
- 15.[] A substitute specification.
- 16.[] A power of attorney and/or address letter.
- 17.[] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825
- 18.[] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19.[] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20.[x]Other items or information: (specify): PCT/IB/304, PCT/IB/308 (First and Second and Supplementary Notice), Cover page of Published PCT application, PCT/ISA/237 with English translation, Int'l Search Report, Request for Publication of Assignment Information

### IAPO ROC'APCTIPTO 20 MAR 2006

By Express Mail # EV857193821US

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  10/572891  INTERNATIONAL APPLICATION NO. PCT/DE2004/002136								ATTORNEY'S DOCKET NUMBER	
								502902-225PUS	
The following f	ees are sul	bmitte	d:	-				<b>\$</b>	
22. [x] Basic National Fee (37 CFR 1.492(a)(1)-(5)) \$300									300
[x] Examination Fee									
If International preliminary examination report is prepared by USPTO									200
and all claims satisfy the provisions of PCT article 33(1)-(4)  All other situations  \$200									
23. [x] Search Fee  If International preliminary examination report is prepared by USPTO									
and all claims satisfy the provisions of PCT article 33(1)-(4)									400
Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to									
the USPTO as an International Searching Authority \$100									,
International Search Report prepared and provided to the Office or previously									
provided to the office \$400									•
All other situ	All other situations \$500							<u> </u>	
ENTER APPROPRIATE BASIC FEE AMOUNT (total of 21, 22 and 23) =									900
[] Application Fee for specification and drawing filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250 for									
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Total Sheets		ra Sheets Number of additional 50 or fraction thereof RATE					RATE		
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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the								\$	
earliest claimed priority date (37 CFR 1.492(h)).									
Claims Number Filed Number Extra RATE									<b></b>
Total Claims		11 - 20 =		0	x \$ 50.0		0	\$	
Independent Claims			1 - 3 =	0		x \$200.00		\$ \$	
Multiple dependent claim(s) (if applicable) + \$360.00									
TOTAL OF ABOVE CALCULATIONS =									900
[] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.									
SUBTOTAL =									900
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).								\$	
TOTAL NATIONAL FEE =								\$	900
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be								\$	40
accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								J	**
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a. [x] A check is	n the amou	nt of \$	_ to cover the abo	ve fees is er	nclosed.				
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SEND ALL CORRESPONDENCE TO: Thomas Langer					Stoma Loure				
Cohen, Pontani, Lieberman & Pavane  Thomas Langer									
551 Fifth Avenu	•						er: <b>2</b> 7,264 March 2	20, 20	<u>006</u>
	York 101	76		1		12) 687-2770			